PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to persons are required to respond to a collection of information unless it displays a valid OMB control number. Inder the Paper Application Number 10/646,458 Filing Date TRANSMITTAL August 22, 2003 First Named Inventor **FORM** 

Kenneth COLLINS, et al. 4 2005 NOV Art Unit 1763 **Examiner Name** Maureen G. Arancibia sed for all correspondence after initial filing) Attorney Docket Number 006915 P01 Total Number of Pages in This Submission

		EN	CLOSURES (C	heck all that apply	)	
Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement  Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53			Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer Request for Refund  CD, Number of CD(s)  Landscape Table on CD  Remarks			After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):
	SIGNA	TUDE	OF ADDI ICANT	ATTORNEY O	D AC	ENT
Firm Name			OF APPLICANT,	ATTORNEY, C	K AG	ENI
	Law Office of R					·
Signature / Skert MWAU						
Printed name Robert M. Wallace						
Date 11-10-		O Dee No		2	9,119	
CERTIFICATE OF TRANSMISSION/MAILING						

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Signature

the date shown below:

Shelly Typed or printed name

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





Attorney Docket No. 006915 P01 RW Ref. No. APM/001-02-CP1-1-1

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<pre>In re Application of    Kenneth COLLINS, et al.</pre>		
	Group Art	Unit: 1763
Entitled: PLASMA IMMERSION ION )	_	
IMPLANTATION APPARATUS INCLUDING A )	Examiner:	Maureen G.
PLASMA SOURCE HAVING LOW )		Arancibia
DISSOCIATION AND LOW MINIMUM PLASMA)		
VOLTAGE )		
)		
Serial No.: 10/646,458 )		
)		
Filing Date: August 22, 2003 )		

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(c) PRIOR TO FINAL ACTION AND WITHIN THREE MONTHS OF RECEIVING FOREIGN PATENT OFFICE COMMUNICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication (a copy of which is attached) from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56 and the Manual of Patent Examining Procedures Section 707.5(b). If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each foreign patent document and/or non-patent literature is enclosed. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,

Robert M. Wallace

Registration No. 29,119 Attorney for Applicants Customer No. 000044843

Robert M. Wallace Patent Attorney 2112 Eastman Avenue, Suite 102 Ventura, CA 93003 (805) 644-4035

NOV 1 4 2005 **INFORMATION** DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

Application Number:

10/646,458

Filing Date:

August 22, 2003

First Named Inventor:

Kenneth COLLINS, et al.

Group Art Unit:

1763

**Examiner Name:** 

Maureen G. Arancibia

Attorney Docket Number:

006915 P01

#### **U. S. PATENT DOCUMENTS**

			0,0,1,7,121,1,000,112,1,0							
Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date					
US-6,395,150 B1	05-28-2002	CAN CLEEMPUT ET AL.	204	192.37	04-01-1998					
US-6,413,321 B1	07-02-2002	KIM ET AL.	118	725	12-07-2000					
US 2003/0013260 A1	01-16-2003	GOSSMAN ET AL.	438	301	07-16-2001					
US 2003/0085205 A1	05-08-2003	LAI ET AL.	219	121.43	04-20-2001					
					:					
	US-6,395,150 B1 US-6,413,321 B1 US 2003/0013260 A1	US-6,395,150 B1 05-28-2002 US-6,413,321 B1 07-02-2002 US 2003/0013260 A1 01-16-2003	Applicant of Cited Document  US-6,395,150 B1  US-6,413,321 B1  US 2003/0013260 A1  OT-02-2002  CAN CLEEMPUT ET AL.  KIM ET AL.  GOSSMAN ET AL.	Applicant of Cited Document  US-6,395,150 B1  US-6,413,321 B1  US 2003/0013260 A1  OT-02-2002  CAN CLEEMPUT ET AL.  KIM ET AL.  GOSSMAN ET AL.  438	Applicant of Cited Document  US-6,395,150 B1 05-28-2002 CAN CLEEMPUT ET AL. 204 192.37  US-6,413,321 B1 07-02-2002 KIM ET AL. 118 725  US 2003/0013260 A1 01-16-2003 GOSSMAN ET AL. 438 301					

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)
	EP 1 158 071 A2	28.11.2001	EUROPE	APPLIED MATERIALS, INC.	N/A
	JP 070455542	14.02.1995	JAPAN	MATSUSHITA ELECTRIC IND CO LTD	N/A
	JP 2000150908	30.05.2000	JAPAN	SEMICONDUCTOR ENERGY LAB CO LTD	N/A
-		<u> </u>			

Examiner Initials

## NON PATENT LITERATURE DOCUMENTS

Hu, C.-K., et al., "A process for improved Al(cu) reactive ion etching", Journal of Vacuum Science and Technology", May 1, 1989, pp. 682-685, Vol. 7, No. 3, American Institute of Physics, New York, U.S.

Examiner's Signature:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.